



Government of **Western Australia**
Department of **Finance**



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Contractor Profiles

Audit and Financial Advisory Services
(CUA AFA2018)

Category C – Probity Services



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2020 Global Business Consultants



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About Us

2020 Global is a multi-disciplinary consultancy practice that maintains high levels of client focus and business ethics. As consultants we ask the right questions, find the answers and can implement solutions across organisations. 2020 Global provides a whole of business service, from analysis to solutions and support and has an experienced business consultancy team that is knowledgeable, curious, energetic and solution-driven.

We assess, we advise, we do.TM

Skills, Experience and Services

The team at 2020 Global has the knowledge and experience with all facets of probity:

- Probity advice throughout the tender planning and execution and finalisations.
- Probity reviews and audit through all phases of procurement.
- Attendance at tender briefings, site visits, consensus meetings and post appointment de-briefs.
- Probity audits of process, post procurement.

Industry Certifications and/or Qualifications Relevant to the Category

Certified Practising Accountants, Chartered Accountants, Certified Internal Auditors, Certified Fraud Examiners, Certified Risk Management Advisers, Chartered Taxation Adviser, Registered Tax Agents.

Industry Partners or Affiliations

- Institute of Internal Auditors
- Institute of Chartered Accountants – ANZ
- CPA Australia
- Association of Certified Fraud Examiners
- The Tax Institute
- Australian Institute of Company Directors

AOT Consulting Pty Ltd

AOT Consulting



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About Us

AOT Consulting Pty Ltd is a professional services firm established in 1989 to provide independent commercial, financial, audit, risk, management and technology consulting services.

As an independent professional services firm, we have specifically designed our professional services to assist clients at different stages of an initiative's lifecycle, from conceptual and strategic planning stages through to the implementation of initiatives and beyond. Our independent advice allows the outcomes to be defined based on the business requirements, giving a totally unbiased service.

Since commencing business we have undertaken over 3,000 consulting projects, most of which have been for government agencies.

We bring a highly professional and commercial approach to probity audit and advisory services.

Skills, Experience and Services

AOT Consulting has been providing Probity Services since 2000 and has undertaken over 100 projects for government agencies.

Over the years, we have been instrumental in promoting the distinction between probity auditing and probity advisory services.

We distinguish between the term Probity Advisor and Probity Auditor because we formed the view after several projects that the word 'auditor' placed more emphasis on post-process whereas we provide independent guidance to advise on probity throughout a process which is intended to guard against probity issues arising.

The service is completely independent and the Probity Advisor still needs to be satisfied that a process has been undertaken ethically, with integrity and fairness for all parties before signing-off on a process.

For Probity Services, we always assign a Consulting Director or Lead Consultant (aligned to Partner/Director or Manager/Supervisor in CUA AFA2018) with substantial skills, knowledge, expertise and experience and the consultant assigned will run with the project from the beginning to the end.

We do not subscribe to what some call the revolving door syndrome where different consultants turn up for meetings because we believe continuity of knowledge throughout the duration of a project is a critical success factor to providing sound advice.

Whilst we are committed to assigning a Probity Advisor who will be with your project from the beginning to the end, we still need to make provision for contingencies in the event that the person becomes unavailable due to situations beyond our control such as ill health.

We therefore always assign a contingency resource.

Our consultants have skills, knowledge, expertise and experience in a range of related services, including:

- Probity Advisory Services;
- Strategic Sourcing and Procurement;
- Contract Development and Management;
- Governance;
- Facilitation;
- Negotiation;
- Business Planning (strategic and operational); and
- Stakeholder Engagement and Communications.

The probity advisory services provided can therefore draw upon practitioner level experience that is based upon undertaking several hundred relevant projects.

Industry Certifications and/or Qualifications Relevant to the Category

AOT Consulting holds the following members and qualifications relevant to probity services:

- Association of Certified Chartered Accountants (ACCA).
- Memberships in Institute of Internal Auditors (IIA).
- Memberships in Risk Management Institution of Australasia (RMIA).
- Memberships in Australasian Evaluation Society (AES).
- Memberships in Chartered Institute of Procurement and Supply (CIPS).
- International Association of Facilitators (IAF).
- ISO9001 Accredited (BVQi) .

Consultants hold a combination of BSc (Hons) Degree in Applied Accountancy; Masters of Commerce (International Business); Bachelor of Liberal Studies (Sociology and Psychology); BSc in Biochemistry; Bachelor of Commerce, Majoring in Accounting and Human Resource Management; and Postgraduate studies in Computing and ICT.

The Principal Consultant often assigned to Probity Advisory Services held a three-year position as a member of the State Supply Commission Board.

Industry Partners or Affiliations

To maintain a policy of independence, AOT Consulting does not have any partnerships or affiliations. The services provided are completely independent of other parties.

Assurance Advisory Group Pty Ltd



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About Us

Assurance Advisory Group (AAG) is a Pty Ltd company established in April 2015. We have established offices in Perth, Melbourne and Darwin. We also deliver services to Commonwealth agencies in Canberra.

Our Perth office is resourced on a full-time basis by Stephen Linden (founding director) and Executive Directors, Glenn Stroud and Greg LeGuier. Each of our directors has in excess of 20 years consulting experience in the public sector. We have formal working relationships with subject matter specialists in Human Resources (including OHS, Safety) and Procurement to complement our full-time resources.

We have a strong track record in the delivery of all of the services included in the CUA. We are especially strong in internal audit, probity, risk management, procurement, fraud / forensic investigations, project management and financial accounting. Client industries have included: Health, Education, Transport (including ports, rail), Utilities, Construction, Welfare Agencies, Regulatory Agencies, Local Government.

Our staff have significant experience with, and understanding of, the FMA, Treasurer's Instructions, State Supply Act, Public Sector Management Act and other relevant legislation and policies.

Skills, Experience and Services

As our name suggests our focus is on providing:

- **assurance** services including, but not limited to:
 - Performance Audit;
 - IS audit;
 - Assurance training;
 - Data analytics (we utilise IDEA).
- **advisory** services including, but not limited to:
 - Probity advisory;
 - Procurement services;
 - Funding / pricing reviews;
 - Efficiency reviews, including process and function mapping;
 - Project reviews and training;
 - Change management.

Industry Certifications and/or Qualifications Relevant to the Category

Our professional staff hold a range of relevant industry certifications and qualifications including:

- Certified internal auditor
- Certified practising accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

Industry Partners or Affiliations

We hold a number of affiliations and arrangements with other organisations that enable us to draw upon additional resources and expertise when required.

- Protiviti (a global internal audit, risk and information systems consulting firm); and
- Quantum Consulting (a local boutique consulting firm)

Australian Audit Pty Ltd



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About Us

Based in Perth CBD, Australian Audit has recently merged with a well-established and recognised WA based auditing practice, Avant Edge Consulting to form one firm under Australian Audit. With the merger, Australian Audit is now well placed to also provide financial audit, grant acquittal audit, internal audit, probity and risk management services to both WA state government and local government departments and agencies, including WA Universities.

Australian Audit is competent in all areas of audit and assurance services whether conducted in accordance with Australian Auditing Standards and/or the International Standards for the Professional Practice of Internal Audit (IIA Standards).

Skills, Expertise and Services

Australian Audit is primarily an Audit-focused firm with four Directors, an Associate Director, Internal Audit, Probity and Risk and over 20 professional audit staff. The firm has some 100 years of combined audit and accounting experience in all areas including state government, local government, not for profit associations and registered charities and private companies.

Australian Audit specialises in the following audit services:

- Internal Auditing
- Financial Statement Auditing
- Financial Grant Acquittal Auditing
- Forensic and Fraud Investigations
- Compliance Audits
- Probity Advisory and Audit
- SMSF Audits

Australian Audit currently provides probity advisory and audit services to:

- City of Stirling
- Shire of Ashburton

Industry Certifications and/or Qualifications Relevant to the Category

All Australian Audit Directors are Registered Company Auditors, and our staff are either CA or CPA qualified or in the process of being qualified.

The Associate Director, Internal Audit, Probity and Risk is a professional member and a fellow of the Institute of Internal Auditors Australia, an internal audit activity external quality assurance provider and a fellow of CPA Australia.

BDO

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About Us

BDO is an accounting network with over 155 partners and directors and 1,200 employees across Australia. BDO offers a wide range of business and corporate advisory services to Government & Public Sector entities. Our service style sets us apart from our competitors in that our service is delivered by an experienced and senior professional team that you will have direct access to throughout the engagement. This enables us to collaboratively plan required work, identify key issues and prioritise your service needs whilst maintaining a cost-efficient price. Our Western Australia office, based in Subiaco, has 21 Partners and 230 employees.

Skills, Experience and Services

The BDO team perform Probity Audit and Probity Advisory services for Western Australian State Government organisations. Our team has skills and experience to deliver probity services that address Value for Money, Impartiality, Dealing with Conflicts of Interest, Accountability & Transparency and Confidentiality.

Specifically, our probity services include:

Probity Audits

Probity Audits examine and evaluate whether an entity is complying with a probity plan during the life of a project and also assesses whether the established criteria, standards and principles have been complied with at the completion of a project.

Probity Advice / Probity Advisory

Probity advice and assistance will be provided before and during a process to improve the outcomes of a process and/or address unexpected probity issues that arise.

Industry Certifications and/or Qualifications Relevant to the Category

Members of our team hold the following qualifications:

- Chartered Accountant in Australia and New Zealand (CA)
- Bachelor qualifications in Commerce
- Bachelor qualifications in Science - Cyber Forensics, Information Security and Management
- Prior Council Member of the Institute of Internal Auditors in Western Australia

- Certified Information Systems Auditor (CISA)
- Certified Information Security Manager (CISM)
- Certified in Risk and Information Systems Control (CRISC)
- Certified in the Governance of Enterprise IT (CGEIT)
- ISO/IEC 2700 Practitioner
- Certified Process Professional (CPP)
- Certified Enterprise Architect
- Diploma- Internal Audit
- Certificates in Prince2, Agile PM, COBIT, ITIL, AC
- ISACA – Information Systems Audit and Control Association
- Professional Member of Institute of Internal Auditors (PMIIA)
- Post Graduate Certificate in Internal Auditing – Institute of Internal Auditors, Australia

Blue Zoo



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About Us

Blue Zoo is an established Australian professional services firm, founded in 2006, that operates nationally and internationally with a large team of advisors experienced in assisting businesses with a range of specialised management advisory services (business and technology) within four broad pillars: Governance, Strategy, Sustainability and Risk. These are the underlying themes in all that we do, and in the skills of the advisors that we employ.

Blue Zoo has an established presence in many large public and private sector organisations through a commitment to delivering consistent and measurable value to our clients. These include 150+ clients in Australia as well as Fortune 500 multi-national corporations and foreign government agencies across Asia.

Our extensive client base spans most industries and includes, state and local government, mining and resources, financial services, health, education, and energy, manufacturing and construction, and not for profit service providers.

Skills, Experience and Services

“Integrity is essential in any procurement or transaction process. Blue Zoo has a team of procurement and probity specialists who provide objective and independent advisory and assurance services.”

Blue Zoo understands that probity does not drive a procurement or transaction process. Instead, we ensure that probity is an inherent characteristic of the process. Our experience and knowledge enables us to understand the risks that may jeopardise the integrity, honesty and compliance of a process and provide timely, objective and commercially practical advice.

Blue Zoo has been providing Probity Services to a large number of public and private clients since 2006, and these services continue to grow.

We believe that by appointing Blue Zoo you will benefit from our substantial corporate governance and probity experience, and our senior personnel

Blue Zoo has a proven track record for delivering high profile engagements in the WA public sector, becoming a trusted partner of many agencies. For example, Blue Zoo is currently providing probity advisory to Mainroad’s ‘Perth Freight Link’ Project; is providing governance and induction training to Board and Committee members of the Department of Water’s ‘Water for Food’ Program following Minister initiated changes because of the recent probity issue at Water Corporation; has recently advised the committee overseeing the restoration of Dumas House (including the offices of 23 Ministers).

Industry Certifications and/or Qualifications Relevant to the Category

Our team maintains a range of relevant qualifications including:

- Graduates of Australian Institute of Company Director's (AICD) Course

Braxford Consultancy Pty Ltd



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About Us

Braxford is a leading firm in the provision of Probity Services. In Western Australia alone, Braxford has served the needs of the WA Government and industry successfully for over seventeen years. We have built our reputation around consistently providing independent and practical advice to heads of government, Boards and Steering Committees. We have earned the respect of Government leaders because we know how to balance their desire to reach end goals with a suitably robust but flexible and practical probity regime. Just as importantly, industry respect us because they know we work hard to protect their rights and ensure the principles of fairness on equity are upheld at all times.

Skills, Experience and Services

The Braxford Consultancy team are highly capable Probity Advisers and Probity Auditors. Braxford has a tried and proven internal training program which all consultants must undertake before they can participate on projects with the probity team. Team members are fully informed and experienced in all aspects of the State Supply Act and relevant legislation and case law. Our Team Leader, Gary Gliddon is an Accredited Probity Services Provider – the first in Western Australia to be awarded this status by the Resolution Institute.

Importantly, our consultants have participated on procurements with a vast range of contract steps and methods. Contract methods such as Alliance Contracting in its many forms, Early Contract Involvement (ECI), Design & Construct, Construct Only, Public Private Partnership (PPP), Design Build Finance Maintain (DBFM), Build Own Operate, NEC, Maintenance Contracts, Best and Final Offer (BAFO) and Direct Negotiations.

Our consultants are highly experienced in assisting with probity guidance during pre-procurement activities such as market sounding, cost estimates and budget preparations, procurement plan development. They readily appreciate the purpose and intent of Expressions of Interest (EOI), Requests for Proposal (RFP) or Request for Tender (RFT).

Industry Certifications and/or Qualifications Relevant to the Category

- Accredited Probity Service Provider – Resolution Institute
- Member Institute of Internal Auditors
- Certified Practising Accountant

Charles Vinci Associates

CHARLES VINCI AND ASSOCIATES

Probity Advisory/Auditing | Public Procurement Policy | Asset Sales and Contracting Specialist

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About Us

Charles Vinci and Associates is a business consultancy providing strategic business advice to public organisations, the private sector and community based organisations. The consultancy services are designed to support clients in dealing with public policy and public administration issues, procurement and tendering, probity services, strategic business planning, organisational development, financial management and corporate governance.

Providing probity services is a specialised area of the business, with extensive experience in all forms of forms of contracting. Recent probity assignments have included the partial sale of a government asset (secured loans) and public/private partnerships involving housing development opportunities.

Probity services available include advisory and audit assignments, dealing with specific probity issues or providing general advice as required in conduct of a transaction. Probity assignments are professionally serviced to the highest standards to meet Customer requirements.

Skills, Experience and Services

Charles Vinci and Associates brings extensive experience and skills in all forms of contracting. This includes:

- Asset sales (the sale of government assets to the private sector);
- Managed services (includes services contracted or managed by the private sector);
- Public/Private Partnerships (establishing partnerships with the private sector to deliver land, housing or infrastructure developments);
- Alliances, Design and Construct and high value Services;
- Market sounding (protocols to test the market, prior to formal tendering);
- Conflict of Interest management (establishing protocols to manage conflicts);
- Confidentiality and security measures;
- Project governance; and
- Interactive Tender Processes (to engage with respondents).

Procurement Knowledge and Experience

Charles Vinci and Associates brings extensive experience and knowledge in procurement and tendering and all consultants bring experience in public procurement policy and practice, undertaking probity services and a sound knowledge of contemporary procurement and commercial practice.

Experience over many probity assignments indicates all projects have their own peculiarities and these need to be assessed with the Customer to determine what mitigation strategies are required

to achieve high probity standards. This also includes high level awareness and understanding of public accountability, political and policy sensitivities and executive Government operations.

Methodology and Accountability

A proven probity methodology is used to provide oversight of the procurement process that includes:

- Project governance and accountability requirements;
- Confidentiality and conflicts of interest declarations and management;
- Probity risk assessment and key project checklist; and
- Probity plan to deal with processes related to fairness, impartiality, consistency, security, accountability and transparency.

A probity opinion on key events and issues dealt with in the procurement process is prepared and presented to the Project Director and Management Board.

Servicing Customer Requirements

To meet Customer requirements probity services are provided 24/7, to agreed timelines and fee arrangements.

Industry Certifications and/or Qualifications Relevant to the Category

Master in Public Policy and Governance (with a core focus on Governance, Tendering and Contract Management. Certifying Practising Accountant.

Industry Partners or Affiliations

Certifying Practising Accountant and a member of Australia Society of Accountants

Crowe



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About Us

Crowe is ranked among the top 10 global accounting networks with over 150 independent accounting and advisory services firms in more than 100 countries around the world. Crowe is a leading provider of audit, assurance and consulting services and is committed to impeccable quality and standards.

Within our Internal Audit team, we have probity specialists that provide independent, objective and structured assistance in probity matters either as a probity auditor or probity advisor. Our team has extensive experience in providing probity services to a range of government agencies, and understand the principles underpinning ethics and probity.

Skills, Experience and Services

The Crowe probity experts clearly understand the differences between the services provided as a probity auditor and a probity advisor, which is essential to maintain independence (perceived and actual), and be able to provide an objective service. Our review approach considers the adherence with the five interrelated fundamentals that comprise the foundation for an effective probity engagement, namely:

- **Best value for money.** Open competitive environment by ensuring non-discrimination in which proponents can make attractive innovative and competitive proposals with confidence that they will be assessed on their merit.
- **Impartiality.** Respondents expect impartial treatment at every stage of the process.
- **Dealing with conflicts of interest.** Perceived or potential conflicts of interest can be as damaging as actual conflicts of interests.
- **Accountability and transparency.** Documentation is critical to accountability and transparency. Accountability involves being able to demonstrate and justify the use of public resources to an appropriate authority.
- **Confidentiality and security of information.** Generally, all information pertaining to the period of evaluation needs to be kept confidential and secured.

For a probity advice engagement, and a process review of the adequacy of probity measures embedded in processes, we also cover the following attributes:

- Whether the client's existing processes and management arrangements provide the framework to manage probity and ensure that the client meets its corporate values;
- Establishment of processes to uphold or manage the following key considerations for probity in the procurement process:
 - Consistency between procurement plan and the request / invitation documentation;
 - Evaluation methodology;

- Communication with bidders throughout the procurement process e.g. clarification questions, requests for information, etc.;
- Identification and treatment of conflicts of interest;
- Decision rules to obtain probity services; and
- Engagement of probity service providers.

Effective and consistently applied probity principles are required for decisions that are fair, transparent and accountable and result in value for money for the organisation. Crowe recognises that should **not** mean more **introducing overtly complex administrative arrangements** that impede the ability to respond effectively to operational requirements. Rather, it is about installing a predetermined procurement process with easy to understand business rules and clear and clear expectations.

Industry Certifications and/or Qualifications Relevant to the Category

Our Crowe team have professional memberships from recognised professional bodies such as the Institute of Chartered Accountants Australia and New Zealand, Certified Practising Accountants Australia, Institute of Internal Auditors and Information Systems Audit and Control Association.

Industry Partners or Affiliations

With affiliations to our global network, Crowe relies on an approach to providing impeccable professional service that brings together teams of experts within each service discipline to act as thought leaders and advisors to the network. These network leaders provide global thought leadership on key issues and opportunities impacting multinational clients as well as set standards and best practices for service delivery.

Dry Kirkness



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About Us

Dry Kirkness was established in 1932 and has grown to become one of Perth's leading boutique accounting firms. In July 2022, Butler Settineri (Audit) Pty Ltd joined with Dry Kirkness to form Dry Kirkness (Audit) Pty Ltd and is now the largest independent provider of audit services in Perth.

We have a reputation of providing assurance and audit services for all types of business entities, including listed and unlisted companies, not-for-profit organisations and self-managed superannuation funds. Using software that enhances our audit services we deliver a comprehensive and cost-effective service to our clients.

As part of the Dry Kirkness brand we are able to access accounting, tax and bookkeeping services that help us perform an efficient and effective audit for your business.

Our partners have all worked in large, multinational accounting firms and bring a deep understanding of business structures, strategy and success. Many of our Partners have international experience they bring to every engagement.

The values we bring to every engagement are to build long lasting relationships with our clients, that we provide a professional outcome and are transparent in our communications with each client. We want to be your trusted advisor, so that you feel able to engage with us on all matters affecting the audit of your organisation.

Audit and assurance services and related corporate work accounts for 50% of the Dry Kirkness group fee base and includes the provision of services to listed companies, local government authorities, not-for-profit organisations, small to medium sized public and private corporations, AFS Licensees, and trust audits for legal practitioners, travel agencies and property related entities.

Skills, Experience and Services

Our audit and assurance team has extensive experience in providing the following probity services:

- Internal Audits
- Compliance Audits
- Financial Audits (External Audits)
- Corporate Governance Reviews

We have performed these services for clients in the following industries:

- Local Government
- Not-for-Profits
- Aboriginal Health Services

Industry Certifications and/or Qualifications Relevant to the Category

- Member of Chartered Accountants Australia and New Zealand;
- Registered company auditor with the Australian Securities and Investment Commission;
- Member Institute of Internal Auditors Australia;
- Registered SMSF Auditor
- Member Governance Institute

Industry Partners or Affiliations

- CT Group Solutions is a dynamic Information Communication Technology service provider that has developed an enviable reputation for the successful delivery of ICT services and solutions across numerous industry sectors. The company offers premium managed services, distinct and secure private cloud hosting, In-depth business analysis through IT consultancy services and maximising information assets with document management solutions. CT Group provides tools for innovation and growth for businesses by minimising downtime, improving efficiencies, all whilst reducing costs. The comprehensive managed services encompasses daily support with a key focus on ICT strategies, roadmap planning, business risk mitigation and senior management reviews. From a consultancy perspective, the organisation focusses on efficiency analysis, benchmark auditing and implementation of innovative solutions.
- Ioppolo & Associates solution and service offerings, comprising of Data Analytics, Robotics Process Automation (RPA), Strategic Consulting, Budgeting and Forecasting and Enterprise Resource Planning (ERP), are integral to any Digital Transformation initiative. In providing these service offerings, I&A engages with vendors who offer “best in class” solutions to ensure our clients, large and small, are delivered a solution that fits their specific needs within the budget they have available.
- Caseware is in business to help our customers work more effectively, gain deeper insights and see further along the road that leads to change for the better. We succeed by advancing their success. And we help them drive transformation by designing technology that reflects our deep understanding of what they hope to achieve – and why. As we turn caseware’s purpose into action, every decision is anchored by our core values:
 - Many voices, one team – We welcome people with diverse identities and points of view, knowing that we’ll grow stronger and find better answers when all voices are heard. Our open and inclusive culture encourages everyone to share ideas with honesty, empathy and respect.
 - Innovation with impact – We’re curious by nature and, like our customers, focused on finding creative solutions to business problems. Acting quickly and decisively, we push past boundaries as we evolve our products and services to meet the needs of our customers and their clients.
 - Powered by trust – Our customers count on us to provide intelligently designed products built to exacting standards and backed by expert support. As we strive to exceed their expectations, we earn the loyalty and trust that power our business and help build confidence in the future.

Ernst & Young



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About Us

EY is one of the largest professional services firms in WA, operating as part of global firm comprising more than 250,000 personnel. Our services help clients strategically assess, remediate and improve governance and control processes, while also elevating the profile of audit and financial advisory services in identifying and addressing complex risk areas.

Our ability to bring innovative ideas and advisory support, greater technology enablement and broad subject matter experience in complex areas allows us to help clients gain significantly enhanced value from our services.

Our global methodology features:

- A proven, consistent global approach, enabled by technology
- A focus on higher-risk issues with integrated subject matter resources
- Governance and execution protocols with the rigor to drive change
- An emphasis on flexible risk assessment and on continuous communication
- Key performance indicators that drive accountability and performance

Skills, Experience and Services

We can provide experienced, independent and objective personnel to perform a range of probity advisory services including the review of processes (e.g. outsourcing, procurement, disposal of assets) to ascertain whether procedures followed are consistent with appropriate regulations, guidelines and best practice principles.

Industry Certifications and/or Qualifications Relevant to the Category

Our personnel have a range of certifications, qualifications and professional memberships across an array of relevant professional organisations, including the following: Institute of Internal Auditors Australia; Chartered Accountants Australia & New Zealand; Information Systems Audit and Control Association; Institute of Actuaries of Australia; Business Continuity Institute; Risk Management Institute of Australia; and Australian Institute of Company Directors.

Examples of the professional qualifications / certifications held by our personnel include: Chartered Account; Certified Practising Accountant; Graduate of the Australian Institute of Company Directors; Certified Information Systems Auditor; Certified Internal Auditor; and PRINCE2 Practitioner.

KPMG

KPMG Partnership Australia



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About Us

KPMG is a global network of professional firms providing Audit, Tax and Advisory services. Our Western Australian government team has extensive experience delivering audit and financial advisory services across many sectors. Clients call upon us for small discrete engagements through to large complex programs of work; and urgent requests.

We have the capacity and diverse capability to respond to a wide range of needs and support our clients via probity audits and advice to ensure procurement processes have been conducted in line with established criteria and standards and in a fair and equitable manner. We have also assisted government organisations in demonstrating that due process has been conducted.

Skills, Experience and Services

Capabilities and services relevant to this CUA:

Probity audits to check compliance with probity plans, existence and appropriateness of required documentation (e.g. assessment criteria), application of policy, procedure and standards, existence of value-for-money assessment, impartiality, conflicts of interest management, accountability, transparency and confidentiality.

Provision of advice and support across the end-to-end procurement process, including review of tender evaluation methodology; consideration of best value for money principles; incorporation of impartiality and conflict of interest management; management of unexpected probity issues; attendance at evaluation meetings and provision of ad-hoc advice throughout the evaluation process; review of draft and final evaluation reports and tender close out processes.

Industry Certifications and/or Qualifications Relevant to the Category

Our consultants hold a wide range of qualifications and certifications relevant to this category, including:

- Chartered Accountant (CA-ANZ)
- Certified Practising Accountant (CPA)

Industry Partners or Affiliations

KPMG has corporate membership of various industry bodies, and many of our consultants hold individual memberships with, or are affiliated to, organisations such as:

- Institute of Internal Auditors (IIA)
- Institute of Public Administrators Australia (IPAA)
- Risk Management Institute of Australasia (RMIA)
- Chartered Accountants Australia & New Zealand (CA-ANZ)
- CPA Australia (CPAA)
- Australian Institute of Company Directors (AICD)

McGrathNicol Advisory



McGrathNicol

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About Us

We assist corporate and government entities manage their response to ever-increasing scrutiny. We provide independent advice to Chairpeople, Audit and Risk Committees, CEOs and CFOs. We work with Boards and senior management to identify areas of opportunity to strengthen and develop effective governance practice.

Skills, Experience and Services

McGrathNicol's skills include:

- Review of methodologies and forecasting assumptions and inputs;
- Review of internal risk and governance models; and
- Assessment and advice for government procurement.

Industry Certifications and/or Qualifications Relevant to the Category

McGrathNicol qualifications and certifications include:

- Graduate Diploma Chartered Accounting
- IV Government Investigation;
- Certificate IV Government Fraud Control;
- Diploma of Government Fraud Control, Prevention and Detection;
- Diploma of Government Fraud Control Investigation; and
- Post Graduate Certificate in Criminal Investigations (Commercial Crime).

Industry Partners or Affiliations

McGrathNicol and its staff have an association with the Governance Institute of Australia and Chartered Accountants Australia New Zealand.

Moore Australia (WA) Pty Ltd

as Agent for the Moore Australia (WA) Partnership of Trusts (formerly Moore Stephens (WA) Pty Ltd)
Moore Australia Audit (WA) Partnership



MOORE. THE NEW BRAND FOR MOORE STEPHENS

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About Us

Moore Global is one of the world's major accounting and consulting networks, comprising 579 offices of 255 member firms across 114 countries, with more than 2,600 Partners and 28,000 staff servicing clients for over 60 years. Our WA firm has approximately 100 staff including 14 Partners in Audit and Assurance, Corporate Finance, Business Advisory, Local Government Advisory and Tax Consulting.

Our global audit methodologies are compliant with the Auditing and Assurance Standards and Standards for the Professional Practice in Internal Auditing issued by the Institute of Internal Auditors in Australia, (where relevant) and are scalable from small to global entities to ensure an efficient and effective audit approach.

We have access to the Moore Australia National Audit Technical resource being located within the Perth Office which provides our staff and clients with easy access to technical advice.

Moore Australia

Moore Australia is an audit, accounting, tax and advisory firm providing astute advice and practical solutions, to consistently deliver solid results. With our firm on board, you operate at your best, enabling you to achieve your goals. Moore Australia provides services under this Category of the CUA via Moore Australia (WA) Pty Ltd as Agent for the Moore Australia (WA) Partnership of Trusts and the Moore Australia Audit (WA) Partnership.

We have a strong understanding of the Financial Management Act, Treasurers Instructions, Public Sector Management Act, Local Government Act and their related regulations, other relevant legislation and policies.

We have a Partner with approximately 10 years of Executive experience with the Office of the Auditor General in WA who will bring significant value and insight to your audit.

We have been trusted advisors to many businesses, state and local governments and not for profit organisations for nearly four decades, providing advice customised to meet the needs of our clients. Our success is derived from our ability to understand the challenges that our clients face and to provide intelligent solutions. We combine genuine client engagement with astute advice.

We offer a wide array of services and have specialist knowledge in many industries and sectors. We have provided probity advice services and reviews to local government in relation to procurement, tendering and asset disposal for over 30 years.

Skills, Experience and Services

The Moore Australia team are highly skilled to provide probity services to government entities.

Probity services can be provided for recruitment, procurement, outsourcing, to ensure that government entities achieve value for money, fairness, impartiality, consistency, security accountability and transparency.

We use data analytics in our audits to provide our clients with insights into their data and to perform an efficient and effective audit compared to traditional audit techniques.

Moore Australia probity services include:

- Advice in governance, conflict of interest, gifts/ benefits and hospitality, accountable and ethical decision making, confidentiality and security
- Advice for strategic sourcing, market sounding, and procurement
- Advice for business case, tendering and contract development, negotiation and management
- Auditing established systems, policies and procedures to ensure they comply with statutory requirements, regulations and Office policy
- Probity advice throughout the tender planning, execution and reporting process
- Probity planning to ensure fairness, impartiality, consistency, security accountability and transparency.
- Attendance at the tender briefings, site visits, consensus meetings, and post appointment debriefings
- Probity audits or reviews for all phases of the procurement process
- Reviews of recruitment and procurement, policies and procedures
- Investigations on behalf of government agencies
- Stakeholder engagement and communications

Training and education in the above Industry Certifications and/or Qualifications Relevant to the Category

Australian Institute of Management (AIM) – Fellows

Australian Institute of Company Directors (AICD) – Graduates

Certificate Public Sector Management – Graduate

Certificate Infrastructure Asset Management – Graduate

Certified Internal Auditor (CIA)

Certified Practising Accountants (CPA) – Fellows, Members

Chartered Accountants Australia and New Zealand (CAANZ) – Fellows, Members

Diploma of Project Management

LG Professionals WA- Fellow

Graduate Certificate Infrastructure Asset Management

Graduate Certificate Public Sector Management

Governance Institute of Australia (GIA) – Fellows

Institute of Internal Auditors (IIA) – Associate Members

Institute of Public Administrators in Australia – Members

Registered Company Auditors (RCA)

SMSF Auditor with ASIC Industry Partners or Affiliations

Moore Australia (WA) Pty Ltd is a member of both Moore Australia and Moore Global.

Paxon Group

PAXON

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About Us

Paxon Group (Paxon) is a leading consulting and advisory firm with over 50 professionals in Perth, Sydney, Melbourne, Brisbane, Adelaide and Darwin. The firm possesses extensive experience providing probity, audit and risk management services for state and local government agencies within Western Australia.

Paxon has provided probity advisory and probity audit services to local and state government al Government for almost 30 years. We know how to facilitate an efficient audit process, mitigate risk, complement existing governance regimes and maintain your confidentiality. We provide best practice methodology and reporting as part of any engagement. We provide assistance with independent audit of a process (activities such as outsourcing, procurement, disposal of assets).

Paxon is an approved supplier of financial and internal audit services via the following panels:

- Department of Treasury and Finance Common Use Agreement (CUA) as an approved supplier of internal audit services for state government agencies, and
- Western Australian Local Government Association (WALGA) as an approved supplier of internal audit services for local governments of WA.

Paxon has an extensive track record in risk assurance within State Government Associations. We routinely deliver a range of services including Risk Register development and expert risk assessment services, including the review of strategic audit plans which have led to co-sourced internal audit engagements.

Paxon's probity team delivers optimum outcomes for local and state government at the point where strategic, social, commercial and governance outcomes are all achieved. We have extensive experience providing strategic advice to government, including advice on trends and benchmarks, community needs, stakeholder requirements, governance structures and future investment priorities.

Skills, Experience and Services

Paxon has one of the largest specialist probity groups in Western Australia. We have over 15 audit and procurement professionals dedicated to servicing our audit clients.

We have provided advice on a range of probity audits as well as internal audits including asset management reviews, cash verification audits, legislative and regulatory compliance, accounts receivable processes, fees and charges, IT general and systems access controls, payroll and risk management and governance.

Paxon has a deep understanding of the issues associated with procurement and outsourcing in the public sector. We provide probity advisory and audit services to government agencies seeking to ensure value for money, fairness and equity are achieved in procurement, outsourcing and disposal of assets.

Paxon offers a full range of probity advisory and probity audit services including:

Probity Advisory

- Probity advisory services on tenders and significant purchase decisions, and
- Probity advisory services on recruitment of executive appointments.

Probity Audit

- Auditing and appraising the adequacy and effectiveness of internal controls, policies, processes and procedures
- Auditing the systems established to ensure compliance with those policies, plans, procedures, statutory requirements and regulations which could have a significant impact on operations, and
- Auditing the means of safeguarding cash and purchases
- Detailed testing of procurement processes within purchasing thresholds, including tenders to ensure compliance during each stage of the process, and
- Testing for fraudulent activity or transactions, and engagement with consultants.

Paxon's proven methodology is based on international best practice principles. We provide an impartial, confidential service that assures accountability, transparency of process, value for money outcomes and improvement in processes.

Industry Certifications and/or Qualifications Relevant to the Category

Our staff bring a diverse set of skills, experience and qualifications to our clients. All have degree level education and industry certifications including:

- Certified Internal Auditor (CIA)
- Certified Practicing Accountant (CPA)
- Chartered Accountant (CA)
- Masters of Business Administration (MBA)
- Masters of Applied Finance (MAppFin)
- Master of Finance (MFin)
- Juris Doctor
- Diploma of Financial Markets
- Graduate Diploma of Applied Finance and Investment
- Certified Taxation Adviser (CTA)
- Chartered Financial Analyst (CFA)
- Bachelor of Commerce / Economics / Engineering / Nursing / Law / Science
- Chartered Financial Analysts Society, and
- Australian Institute of Project Management (AIPM)

Industry Partners or Affiliations

Paxon partners and staff enjoy membership and affiliations with a significant number of industry bodies and professional associations. Some relevant to this category include:

- Australian Institute of Banking and Finance
- Australian Institute of Management
- Australian Institute of Public Accountants
- Chartered Accountants Australia and New Zealand (CA ANZ)
- Chartered Association of Certified Accountants
- Committee for Economic Development of Australia (CEDA)
- Financial Services Institute of Australasia (FINSIA)
- Institute of Internal Auditors (IIA)
- Property Council of Australia (PCA).
- Securities Institute of Australia
- Taxation Institute of Australia.

Protiviti

protiviti[®]
Face the Future with Confidence

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About Us

Protiviti is a global consulting firm that helps companies solve problems in finance, technology, operations, governance, risk and internal audit, and has served more than 60 percent of FORTUNE 1000[®] and 35% of FORTUNE Global 500[®] companies. Protiviti and its independently owned Member Firms serve clients through a network of more than 70 locations in over 20 countries. In Australia Protiviti is a significant supplier to all Australian and State Governments. The firm also works with smaller, growing companies, including those looking to go public, as well as with government agencies.

Protiviti is a wholly owned subsidiary of Robert Half (NYSE: RHI). Founded in 1948, Robert Half is a member of the S&P 500 index.

We are the largest firm dedicated exclusively to providing independent risk consulting and internal audit services. At Protiviti, internal audit and risk consulting are our business, our primary focus, and our core competency.

We bring highly configurable solutions to our clients. Our clients tell us that what sets us apart is our ability and willingness to structure resourcing solutions specifically to their needs. We bring a combination of dedicated full-time risk professionals, contract talent and global resources to bring cost efficient and highly effective solutions.

Our global network is all part of a single organisation under one ownership structure. This gives us the ability to collaborate, communicate and respond quickly and seamlessly to our clients.

Protiviti has achieved phenomenal success in our 10+ years of business. This has been driven by our focus on being value partners with our clients. We proactively work with management teams to assess, design, and execute risk plans related to their business. When you need us we are there. We share ideas. We tap into our firm-wide resources to bring forward the best solutions.

Skills, Expertise and Services

Protiviti have the skills and expertise to offer a full suite of professional services under CUA AFA2018. This includes:

- Internal Audits
- Information Systems Audits
- Investigations / Forensic Audits
- Corporate Governance Reviews
- Project Assurance and Advice
- Data Analytics
- Risk Assessments, Risk Management Plans and Risk Reviews
- Business process re-engineering and improvement advice
- Probity Audits and Advice
- Procurement, Tendering and Commercial Advice
- Development of Policies and Procedures
- Preparation of Financial Statements and Annual Reports

- Financial Statements Analysis
- Financial Assessment Services

Industry Certifications and/or Qualifications Relevant to the Category

Our professional staff hold a range of relevant industry certifications and qualifications, including:

- Certified Internal Auditor
- Certified Practising Accountant – CPA Australia
- Chartered Accountant – Institute of Chartered Accountants
- Graduate of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Risk Management Institution of Australasia

Industry Partners or Affiliations

Protiviti is one of only 10 Principal Partners of the Institute of Internal Auditors globally.

Quantum Management Consulting & Assurance



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About Us

Quantum Management Consulting & Assurance (“Quantum”) is an audit, accounting and advisory group that specialises in providing independent assurance advice and solutions for the control, efficiency and effectiveness of business processes. The group has been operating successfully since 2005 and the Director has over 30 years’ professional audit experience.

Our core business is internal audit, accounting and related consulting services, particularly for State Government agencies in Western Australia, financial institutions and not-for-profit organisations.

Our goal is to build long-term relationships with our clients through delivering innovative and value-adding solutions to **protect and improve our clients’ business**.

We are totally committed to delivering **high quality and timely probity audit services** and business solutions.

A point of difference is that Quantum only employs **experienced senior consultants with hands-on involvement of the Director** which provides more in-depth and innovative outcomes than less experienced teams.

Quantum is also one of the few firms in Western Australia that provides IT audit services **integrated with general audits** so clients receive a complete solution based on analysis of the IT systems.

Quantum is a **Chartered Accounting practice** and holds a fully accredited Practising Certificate from Chartered Accountants Australia and New Zealand. The entrance requirements are high, and continuing annual professional development is a mandatory requirement.

Skills, Expertise and Services

Quantum provides the full range of probity audit services including:

- Independent review of procurement processes
- Probity Auditor
- Probity Advice

Our in-depth understanding of Government procurement policies will ensure that your procurement processes and decisions are consistent and procurement decisions are equitable and well-supported.

The Director and senior consultants are members of professional bodies including Chartered Accountants Australia and New Zealand (CAANZ), CPA Australia (CPAA), the Institute of Internal Auditors – Australia (IIA) and the Information Systems Audit and Control Association (ISACA).

Our experienced consultants can provide a tailored solution to meet your needs with a fixed price quotation. The hourly rates charged are fixed, with Quantum providing very competitive rates compared to other firms on the panel.

Industry Certifications and/or Qualifications Relevant to the Category

- Practising Certificate – Chartered Accountants Australia & New Zealand
- Chartered Accountant
- Certified Internal Auditor
- Certified Information Systems Auditor

RSM Australia Pty Ltd



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About Us

RSM is the largest mid-tier accounting firm in Australia, with 32 offices, over 80 partners and 1,100 staff. We are part of the RSM International network, the sixth largest audit, tax and consulting network in the world, with approximately 41,000 people in 800 offices across 120 countries.

Skills, Expertise and Services

Probity Audits examine and evaluate whether a public authority is complying with a probity plan during the life of a project and / or tender and also assesses whether the established criteria, standards and principles have been complied with at the completion of a project. Probity Advice provides value during the project life by improving processes in real time and in accordance with best practice.

Industry Certifications and/or Qualifications Relevant to the Category

Member of Institute of Internal Auditors (IIA), Member of Institute of Chartered Accountants Australia (FCA/ACA), Certified Practising Accountant Australia (CPAA), Member of Australian Institute of Company Directors (AICD).

Industry Partners or Affiliations

Australian Institute of Company Directors (AICD), Institute of Chartered Accountants Australia & New Zealand (CAANZ), Certified Practising Accountants Australia (CPAA), Institute of Internal Auditors (IIA).

Stantons International



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About Us

The Probity and Procurement Advisory arm of Stantons offers services that are unique to a Tier 2 Accounting firm but which provide value-adding governance and stewardship capabilities for support of our public and private sector clients. Our established record in the provision of probity and procurement advisory support to all levels of government has established us a leader in the field, supporting complex and high value procurement projects.

Stantons has provided probity services to Federal, State and Local government agencies in Australia and to International agencies such as AusAID (now DFAT), the World Bank and the Asia Development Bank. Our probity tasks have included some of the largest and most complex projects undertaken by the public sector in Western Australia's history, including probity oversight of the Fiona Stanley Hospital project, the largest public sector project in WA's history, the Perth Children's Hospital project, Midland Health Campus project, and the watershed transformational urban development projects at Elizabeth Quay, Yagan Square, Riverside and Scarborough with the Metropolitan Redevelopment Authority (MRA). The company is currently providing probity support to the New Museum Project and we have recently been appointed to assist MRA with the Education Central development and the preliminary stages of the Princess Margaret Hospital site redevelopment.

Skills, Expertise and Services

Under the leadership of our Principal, Probity and Procurement, Mr Kevin Donnelly, Stantons is able to call upon a diverse range of skills and experience in providing probity services, particularly to complex, high-value and high-risk procurement and asset sales projects. Kevin's former procurement and supply-chain logistics experience in the Australian Army, as the Director of Supply and Secretary for Railways in the former Westrail and as Director of State Supply Services, supported by an experienced team of probity advisors and procurement specialists, provides clients with a team that can work from the highest levels of strategic procurement planning to the operational levels of tender preparation and process management, within the public-sector environment.

Stantons has provided probity services to projects that have employed advanced procurement and contracting models for the acquisition of buildings and civil construction (including road and rail projects for MRWA, the PTA and MRA), design and construct, major infrastructure (including Fiona Stanley Hospital, the New Children's Hospital, Aubin Grove and Stadium Stations and the Perth Underground Bus Station), complex medical/IT systems and services, land development, commercial partnerships, land sales, emergency services infrastructure and fleet, professional services, facility management/operation services, government asset sales (including the Westrail Freight sale, Perth

Market Authority and Water Corporation's Engineering and Construction Services branch), ports, art, and waste management.

We are experienced in providing probity oversight to non-traditional contracting methodologies including alliancing, strategic partnering, Early Contractor Involvement (ECI), Public Private Partnership (PPP), Competitive ECI (CECI), Design Build Operate and Maintain (DBOM), Build Own Operate BOO and Build Own Operate Transfer (BOOT) contracts. We also have experience in providing probity oversight over ballots, development assessment panels (DAP) and grants.

Industry Certifications and/or Qualifications Relevant to the Category

Stantons is a Chartered Accountant-certified organisation, which demonstrates a level of general rigour in our internal process and internal quality assurance (QA) processes. In addition to the organisational certification, across the personnel in the Probity and Procurement division at Stantons the following relevant certifications and qualifications are represented:

- Accredited Probity Services Practitioner (Resolution Institute, formerly Institute of Arbitrators and Mediators Australia)
- Certified Internal Auditor (Institute of Internal Auditors Australia)
- Certified Practising Accountant (CPA Australia)
- Master of Economics
- Bachelor of Business
- Master of Business Administration
- Post Graduate Diploma in Management
- Graduate Diploma in Business
- Bachelor of Commerce
- Bachelor of Business Administration

Industry Partners or Affiliations

Stantons is an affiliate of the Russell Bedford International (RBI) group of companies, which is a global network of accounting/auditing firms, and tax and business advisors. The network is represented by some 290 offices in more than 100 countries, including offices in each State in mainland Australia.

Through the RBI network, Stantons shares and has access to a national and international network of expertise, training materials and resources. Stantons actively participates in the network through regular representation and delivery of presentations at national and international RBI conferences and training sessions. Stantons also has a strategic partnership with the RBI affiliate based in Adelaide, Lee Green and Associates, including a collaborative partnership between the organisations based in Darwin.

William Buck



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About Us

William Buck is a leading consulting firm with a proud 120 year history. While we are a firm of Chartered Accountants we have a growing reputation for delivering value driven audit and assurance engagements across the private and public sector.

We invest heavily in our people and have a team of public sector audit experts to ensure we provide the best possible services to our WA State and Locals Government clients.

While we are part of a National network and we have the ability to draw upon the knowledge and experience of team members throughout Australasia, William Buck Consulting (WA) Pty Ltd, based in South Perth would be responsible for delivering services under CUA AFA2018. The Directors of William Buck Consulting (WA) Pty Ltd have established a governance framework that promotes our strategic objectives and our focus on “changing lives”. This allows our business units to share knowledge, skills and experience, maximising our capacity to respond to our client’s needs.

Probity Services

Our Audit and Assurance team provides probity audit services to both our State and Local Government clients.

Skills, Expertise and Services

Our Audit and Assurance team lead by our Director Audit and Assurance provides probity audit services to both State Government agencies and Local Government Authorities.

To ensure we provide best practice probity advice we take the following steps when conducting a probity engagement:

- Oversee the request for tender and subsequent evaluation processes, focusing on fairness and equity of the process, compliance with relevant legislation and organisation procurement policy requirements and ensuring that the process is conducted in accordance with the prescribed tender documentation including agreed evaluation methodology;
- Review of the Procurement Plan and Tender Evaluation Plan;
- Review the Request for Tender documentation (“RFT”);
- Review of any RFT addendums and responses to clarifications/requests for information;
- Attend any RFT briefing session and/or site visits;
- Observe the process for clarification with tenderers;
- Attend and observe the RFT closure process;
- Review confidentiality and conflict of interest management strategies;
- Attend and observe the tender assessment meetings;

- Review tender recommendations and documentation related to preferred contractor appointment;
- Review other documentation or make enquiries as we see fit to ensure probity; and
- Document the work we have performed and the conclusions arising from it in a letter.

Our role often requires us to provide proactive probity advice. This involves advising and assisting management before and during the course of the procurement process. This allows us to identify unexpected probity issues as they arise.

We also provide comprehensive reporting to our clients which clearly states any risks or issues identified throughout the procurement and allows us to make recommendations on how this impacts on the probity of the process. Where we identify aspects of the process that could be managed in a more structured or controlled way we also provide recommendations for improvement relating to the procurement process generally.

Our key Probity Audit and Advisory clients include both State and Local Government clients.

Industry Certifications and/or Qualifications Relevant to the Category

- Our Director Audit and Assurance is a Registered Company Auditor, has a Bachelor of Commerce and is a Chartered Accountant (Institute of Chartered Accountants Australia and New Zealand) and Fellow Governance Institute of Australia.
- Our two Internal Audit Managers are both Chartered Accountants (Institute of Chartered Accountants Australia and New Zealand) and are members of the Institute of Internal Auditors.
- Our External Audit Manager and Supervisors are Chartered Accountants (Institute of Chartered Accountants Australia and New Zealand).

Industry Partners or Affiliations

- Institute of Chartered Accountants Australia and New Zealand (ICAAANZ)
- Certified Practising Accountants (CPA) Australia
- Association of Chartered Certified Accountants
- Institute of Internal Auditors
- Governance Institute of Australia