

Alcoa of Australia Limited's Assurance Activity Report Quarter One January – April 2024

The Department of Water and Environmental Regulation (the department) is monitoring Alcoa's compliance with the Environmental Protection (Darling Range Bauxite Mining Proposals) Exemption Order 2023 in accordance with the Initial Assurance Plan (IAP). Monitoring is undertaken through a combination of desktop data reviews, satellite imagery, interviews with site personal and an intensive schedule of on-site inspections of operational areas. On-site inspections in quarter 1 were initially conducted three times a week and were increased to five days a week towards the end of the quarter.

Number of on-site inspections in Quarter One

- Huntly – 25
- Willowdale – 20

Alcoa activities monitored

- Exploration drilling
- Native vegetation clearing
- Road works
- Drainage controls
- Mining (ore extraction)
- Landscaping and stabilisation
- Rehabilitation
- Stream and reservoir turbidity monitoring
- Incident reporting and investigations

Independent Compliance Monitor

Alcoa has engaged Ramboll Australia Pty Ltd as the Independent Compliance monitor. The Minister for Environment approved the appointment of Ramboll as the Independent Compliance Monitor on 18 January 2024.

Alcoa Compliance Assessment Plan

Alcoa submitted the Compliance Assessment Plan (CAP) to the department on 12 February 2024. The CAP was endorsed by the independent compliance monitor, Ramboll.

Alcoa monthly compliance reporting

- January – received by the department on 15 February 2024;
- February – received by the department on 14 March 2024; and

- March – received by the department on 15 April 2024.

Monthly compliance reports for this quarter have been published on [Alcoa's website](#).

Compliance status definitions

Compliance status	Abbreviation	Definition
Compliant	C	Implementation of the proposal has been carried out in accordance with the conditions of the exemption order.
Potential non-compliance	PNC	Possible or likely failure to meet the conditions of the Exemption Order.
Non-compliant	NC	Implementation of the proposal has not been carried out in accordance with the conditions of the Exemption Order.
Still under assessment	SUA	Data review ongoing. No determination yet.
Completed	Com	Clause action completed and closed.
Not applicable	N/A	This condition of the Exemption Order was not triggered during the reporting period

Exemption order compliance summary Quarter One

Condition	Statement of compliance	
Clause 2 Limitations and maximum extent		
Clause 2 (2) (a) & (b)	C	No disturbance of land outside the Huntly mine disturbance footprint observed. No native vegetation disturbance outside of the Huntly native vegetation disturbance footprint was observed
Clause 2 (3) (a) & (b)	C	No disturbance of land outside the Willowdale mine disturbance footprint observed. No native vegetation disturbance was observed within the Willowdale Mine disturbance area
Clause 2 (4)	C	No exploration activities were detected outside of the Darling Range exploration disturbance footprint.
Clause 3 Prohibited activities		
Clause 3.	C	No disturbance of forest clearing advice areas was observed
Clause 4 Restricted activities		
Clause 4 (2) (a)	C	Subclause (2) does not apply to mining within 1 km of the top water level margin of any water reservoir within the Huntly Mine disturbance footprint carried out before 30 June 2024.
Clause 4 (2) (b)	C	No mining activities were observed in the Serpentine Pipehead Dam Catchment Area.

Clause 4 (2) (c)	C	No mining in any area within a reservoir protection zone with an average slope of greater than 16 per cent was observed.
Clause 4 (2) (d)	C	No mining activities within 10 m of a black cockatoo nesting tree or a Huntly Mine black cockatoo significant tree were observed.
Clause 4 (2) (e)	N/A	Not applicable for this quarter.
Clause 5 Activities requiring drainage control and stabilisation		
Clause 5 (2) (a) (b) & (c)	C	All drainage controls were installed as required.
Clause 6 Drainage incidents		
Clause 6 (2) (a) (b) & (c)	C	All drainage incidents were reported on time and investigation reports were submitted with the twenty-one-day deadline.
Clause 8 Appointment of compliance monitor		
Clause 8 (2)	C	Alcoa appointed Ramboll Australia Pty Ltd as the independent compliance Monitor. The Minister for Environment approved the appointment on 18 January 2024.
	Com	
Clause 9 Compliance assessment plan		
Clause 9 (1)(2)(3)	C	Alcoa submitted the CAP on 12 February. The CAP was endorsed by the independent compliance monitor.
	Com	
Clause 10 Reports by Alcoa		
Clause 10 (1)(2)(3)	C	All Alcoa monthly compliance reports for this quarter were submitted on time.
Clause 11 Reports by compliance monitor		
Clause 11 (1)(2)(3)(4)	C	All Ramboll independent compliance monitor monthly compliance reports for this quarter were submitted on time.
Clause 12 Provision of information		
Clause 12 (1)	N/A	Not applicable for this quarter.
Clause 13 Publication of information		
Clause 13 (2)	C	All monthly Compliance Reports for this quarter were published on time.