

Snapshot of Compliance Trends | at 30 June 2021

This snapshot provides a summary of compliance trends of TAC regulated providers over three financial years. The target audience for this report are those RTOs regulated by TAC and aims to support continuous improvement. The data indicates the audit outcome at main audit and does not reflect evidence review outcomes.

Audits are one of the tools TAC uses to monitor RTO performance against the Standards for RTOs and offer a point-in-time insight into the quality of training and assessment services being provided. Between 2018 and 2021, compliance at main audit increased by 22%.

Audits include multiple audit types and individual providers may be audited multiple times. If the outcome of the **main audit** is that the training organisation is non-compliant, they are provided with an evidence review period of 20 working days to address outstanding issues. **For an RTO to continue to operate, non-compliances must be resolved.** For more information on the audit process, please refer [here](#).

Compliance at Clause Level and the TAC Education Program

The Clause level data in this snapshot is derived from the number of times the Clause was audited during the reporting period.

Clause 1.1

74% compliance

74% of audits achieved compliance at main audit in 2020/2021 compared to 70% in 2018/2019

Clause 1.2

85% compliance

85% of audits achieved compliance at main audit in 2020/2021 compared to 76% in 2018/2019

Clause 1.3

83% compliance

Compliance at main audit has remained steady between 2018/2019 and 2020/2021

Clause 1.8

74% compliance

74% of audits achieved compliance at main audit in 2020/2021 compared to 62% in 2018/2019

Clause 1.13

84% compliance

Compliance at main audit has remained steady between 2018/2019 and 2020/2021

TAC Education Program

134% increase in participation

The majority of TAC regulated providers have improved their compliance levels over time. The **increase in compliance outcomes** may in part be attributed to **strong RTO engagement with the TAC Education Program** and guidance materials since 2018/2019.

The information presented in this snapshot should be read in conjunction with information about the TAC audit process and regulatory approach.

Please refer to the following links:

- [TAC Regulatory Approach](#)
- [TAC Risk Framework](#)
- [Focus on Quality: TAC Regulatory Strategy 2021-2023](#)
- [TAC Audit Process Flow](#)

Clauses identified in this snapshot are the focus of TAC's Regulatory Strategy and Education Program, including guidance materials. For easy reference, the Clauses are summarised below:

Clause 1.1

RTOs have training and assessment strategies that are consistent with training package requirements.

Clause 1.2

The amount of training for learners is determined based on learners' skills and experience.

Clause 1.3

RTOs have sufficient trainers, support services, resources and facilities when delivering training.

Clause 1.8

RTOs have an assessment system that complies with training product requirements.

Clause 1.13

RTOs have trainers and assessors with vocational competencies, industry skills and knowledge in VET.

For access to the full set of the **Standards for RTOs**, please refer here - [Standards for Registered Training Organisations \(RTOs\) 2015](#).

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